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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/008,355	11/08/2001	James Travis	235.00440101

CONFIRMATION NO. 4382

26813  
MUETING, RAASCH & GEBHARDT, P.A.  
P.O. BOX 581415  
MINNEAPOLIS, MN 55458

## FORMALITIES LETTER



\*OC000000007274879\*

Date Mailed: 01/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$279.
  - \$27 for 3 total claims over 20.
  - \$252 for 6 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 714.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/14/2002 10008355  
01 FC:201  
02 FC:203  
03 FC:202  
04 FC:205  
370.00  
27.00  
252.00  
65.00



PATENT  
Docket No. 235.0044 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Travis et al.	)	Group Art Unit:	1645
Serial No.:	10/008,355	)	Examiner:	Unknown
Confirmation No.:	4382	)		
Filed:	November 8, 2001	)		
For:	DIPEPTIDYLPEPTIDASES AND METHODS OF USE			

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231  
Sir:

In response to the "Notice to File Missing Parts of Application," enclosed are two executed Declarations by the named inventors. Enclosed is our check in the amount of \$65 for the missing parts surcharge. Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

**CERTIFICATE UNDER 37 C.F.R. 1.10:**

The undersigned hereby certifies that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Louise M. Guggisberg*  
"Express Mail" mailing label number:  
EL888272881US  
Date of Deposit: March 7, 2002

7 March 2002  
Date

Respectfully submitted for

The University of Georgia Research  
Foundation, Inc.

By  
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**26813**

PATENT TRADEMARK OFFICE

By: *Victoria A. Sandberg*  
Victoria A. Sandberg  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Travis et al.

Group Art Unit: 1645

Serial No.: 10/008,355

Examiner: Unknown

Filed: November 8, 2001

Docket No.: 235.00440101

Confirmation No.: 4382

Title: DIPEPTIDYLPEPTIDASES AND METHODS OF USE

Assistant Commissioner for Patents

Attn: Box Missing Parts

Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

☒ **Small entity status is entitled to be asserted in the above-identified application.**

☒ An itemized return postcard.

☐ A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.

☒ Checks in the amounts of \$ \$649.00 and \$65.00, representing application filing fee and missing parts surcharge.

☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.

☒ Other: Notice to File Missing Parts of NonProvisional Application (1 pg.); Communication Re: Missing Parts (1 pg.); Two executed Declarations (4 pgs. each); Communication Re: Replacement Application Data Sheet (1 pg.); and Replacement Application Data Sheet (5 pgs.).

Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$42 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$140 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813



26813

PATENT TRADEMARK OFFICE

By: Victoria A. Sandberg  
Name: Victoria A. Sandberg  
Reg. No.: 41,287  
Direct Dial: 612-305-1226  
Facsimile: 612-305-1228

CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: EL888272881US

Date of Deposit: March 7, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231.

By: Patricia M. Guggisberg  
Name: Patricia M. Guggisberg  
(SMALL ENTITY TRANSMITTAL UNDER RULE 1.10)